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Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 43056

Application ID: 09970078 

Title of Invention: METHODS AND SYSTEMS FOR
MANAGING A PORTFOLIO OF
SECURITIES

First Named Inventor: John Bunda

Domestic/Foreign Application: Domestic Application

Filing Date: 2001-10-03

Effective Receipt Date: 2003-07-08

Submission Type: Information Disclosure
Statement

Filing Type:

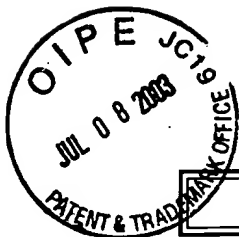
Confirmation number: 8120

Attorney Docket Number: 5226-02200

Total Fees Authorized:

Digital Certificate Holder: cn=Eric B. Meyertons,ou=Registered Attorneys,ou=Patent and Trademark
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**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	METHODS AND SYSTEMS FOR MANAGING A PORTFOLIO OF SECURITIES	
Application Number: 09/970078 Date: 2001-10-03 First Named Applicant: John Bunda		
Confirmation Number: 8120 Attorney Docket Number: 5226-02200		
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Submitted by:	Elec. Sign.	Sign. Capacity
Eric B. Meyertons Registered Number: 34876	Eric B. Meyertons	Attorney
Documents being submitted us-ids		Files 5226-01600-7-03-usidst.xml us-ids.dtd us-ids.xsl
Comments		

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention

METHODS AND SYSTEMS FOR MANAGING A
PORTFOLIO OF SECURITIES

Application Number: 09/970078
Confirmation Number: 8120
First Named Applicant: John Bunda
Attorney Docket Number: 5226-02200
Art Unit: 2163
Search string: (5193056 or 4752877 or 6415267 or 6029146
or 5297031 or 6035287).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5193056	1993-03-09	Boes			
	2	4752877	1988-06-21	Roberts et al.			
	3	6415267	2002-07-02	Hagan			
	4	6029146	2000-02-22	Hawkins et al.			
	5	5297031	1994-03-22	Gutterman et al.			
	6	6035287	2000-03-07	Stallaert et al.			

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